

NATIONAL CREDIT  
UNION ADMINISTRATION  
ALEXANDRIA, VA 22314-3428  
OFFICIAL BUSINESS

# Call Report Form 5300

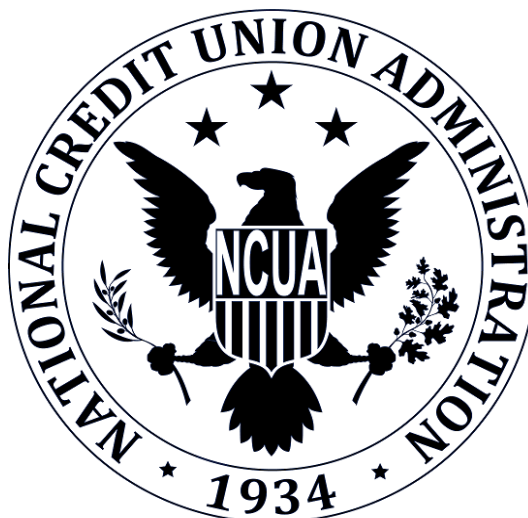
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**TO THE BOARD OF DIRECTORS OF THE  
CREDIT UNION ADDRESSED:**

This booklet contains the Call Report, Form 5300. This form is effective March 31, 2019 until superseded. Please complete the Call Report using accounting and statistical information from your credit union's records as of reporting cycle date. Instructions for completing the form are available for separate download on the NCUA website, [www.ncua.gov](http://www.ncua.gov). The NCUA website provides the quarterly filing date. In addition, credit union contacts of record receive quarterly email notifications of the cycle highlights.

If you have any non-technical questions, please contact your National Credit Union Administration Regional Office or your state credit union supervisor, as appropriate. Please call NCUA Customer Service at 1-800-827-3255 with any technical questions.

**NATIONAL  
CREDIT UNION  
ADMINISTRATION**



**CALL REPORT  
Form 5300  
Effective  
March 31, 2019  
Until Superseded**

**INSTRUCTIONS FOR REPORTING REQUIREMENTS**

The Call Report contains three sections: a core section (pages 1 -11), PCA Net Worth Calculation Worksheet (Page 12) and supplementary schedules.

All credit unions must complete the core section every reporting period. The PCA Net Worth Calculation Worksheet requires no input unless you have early adopted Accounting Standards Codification Topic 326: Financial Instruments - Credit Losses, completed a merger or acquisition that qualifies for Business Combination Accounting or intend to use an optional Total Assets Election to compute your net worth ratio. In this case, you must input your financial information into the system.

The supplementary schedules A - E, pages 13 - 22, require your input only if applicable. The table below reflects the supplementary schedules and applicable reporting requirements for each schedule.

| <b>SCHEDULE</b>  | <b>REPORTING REQUIREMENT</b>  |
|--|---|
| A - Specialized Lending                                  | Complete this schedule if your credit union: <ul style="list-style-type: none"> <li>• has indirect loans outstanding,</li> <li>• has real estate loans outstanding or real estate lending activity year to date,</li> <li>• has purchased loans from, or sold loans to, other financial institutions year to date,</li> <li>• has participation loans outstanding or participation lending activity year to date,</li> <li>• has commercial/business loans outstanding or commercial lending activity year to date, or</li> <li>• has any Troubled Debt Restructured (TDR) loans outstanding or has approved TDR loans year-to-date or,</li> <li>• has credit impaired loans (PCILs) purchased or obtained in a merger, or</li> <li>• has financial assets with credit deterioration (PCDs) purchased or obtained in a merger.</li> </ul>   |
| B - Investments, Supplemental Information                | Complete this schedule if your credit union: <ul style="list-style-type: none"> <li>• has investments classified as Trading, Available for Sale, or Held to Maturity,</li> <li>• has non-security investments that meet the requirements of Section 703.10(a),</li> <li>• has investments purchased under an investment pilot program as defined by Section 703.19,</li> <li>• has investment repurchase agreements,</li> <li>• has investments not authorized by the FCU Act or NCUA Rules and Regulations, or</li> <li>• has investments in brokered certificates of deposit or brokered share certificates, or</li> <li>• has assets purchased to fund employee benefit plans or deferred compensation plans that are not authorized under Part 703, or</li> <li>• has assets purchased to fund Charitable Donation Accounts.</li> </ul> |
| C - Credit Union Service Organization (CUSO) Information | Complete this schedule if your credit union has investments, loans, or an aggregate cash outlay in CUSOs.   |
| D - Derivative Transactions Report                       | Complete this schedule if your credit union uses derivative contracts.  |
| E - Bank Secrecy Act/Anti-Money Laundering Information   | Complete this schedule if Money Services Businesses hold accounts at your credit union.   |

**INSTRUCTIONS FOR CORRECTING A SUBMITTED CALL REPORT**

If a previously submitted Call Report contains errors, inaccurate information, or omissions, you must correct and resubmit it. Instructions for correcting and resubmitting Call Reports in CU Online can be found in the [Credit Union Online Instruction Guide for Natural Person Credit Unions](#)

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**CERTIFICATION OF NCUA 5300 CALL REPORT AS OF: \_\_\_\_\_**

By signing below, I hereby certify the information being submitted is complete and accurate to the best of my knowledge and has been certified by the person below. If submitted information is not accurate, I understand I am required to submit a corrected Call Report upon notification or the discovery of a need for correction. I understand false entries and reports or statements, including material omissions, with intent to injure or defraud the credit union, the National Credit Union Administration, its examiners, or other individuals or companies is punishable under 18 U.S.C. 1006.

**Certifying Official:**

Last Name: \_\_\_\_\_  
Please Print

First Name: \_\_\_\_\_  
Please Print

Last Name: \_\_\_\_\_  
(Signature)

First Name: \_\_\_\_\_  
(Signature)

Date: \_\_\_\_\_

Validation Date: \_\_\_\_\_

The instructions to prepare this form meet the requirement to provide guidance to small credit unions under Section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996.

**Paperwork Reduction Act Statement**

The estimated average public reporting burden associated with this information collection is 6 hours per response. Comments concerning the accuracy of this burden estimate and or any other aspect of this information collection, including suggestions for reducing this burden to should be addressed to the:

National Credit Union Administration  
Office of General Counsel  
Attn: PRA Clearance Officer  
1775 Duke Street  
Alexandria, VA 22314-3428

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid OMB control number.

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

|  |        |
|--|--------|
| <b>ASSETS</b>  |        |
| Have you early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)? Select yes or no.  | AS0010 |
| <b>NOTE - Review the Call Report Instructions carefully if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL).</b> |        |

|  |  |               |             |
|--|--|---------------|-------------|
| <b>CASH:</b>   |  | <b>Amount</b> | <b>Acct</b> |
| 1. Cash on Hand (Coin and Currency)  |  |               | 730A        |
| 2. Cash on Deposit (Amounts Deposited in Financial Institutions)                   | a. Cash on Deposit in Corporate Credit Unions                          |               | 730B1       |
|  | b. Cash on Deposit in a Federal Reserve Bank                           |               | AS0003      |
|  | c. Cash on Deposit in Other Financial Institutions                     |               | 730B2       |
|  | d. Total Cash on Deposit (Amounts Deposited in Financial Institutions) |               | 730B        |
| 3. Cash Equivalents (Investments with Original Maturities of Three Months or Less) |  |               | 730C        |

**INVESTMENTS: If your credit union reports amounts for items 4 - 10 below, complete Schedule B - Investments, Supplemental Information.**

|  | A         |        | B           |        | C1          |        | C2           |        | D          |        | E            |        |
|--|-----------|--------|-------------|--------|-------------|--------|--------------|--------|------------|--------|--------------|--------|
|  | <= 1 Year | Acct   | > 1-3 Years | Acct   | > 3-5 Years | Acct   | > 5-10 Years | Acct   | > 10 Years | Acct   | TOTAL AMOUNT | Acct   |
| <b>If you have adopted ASC Topic 320, Investments - Debt Securities and ASC Topic 321, Investments - Equity Securities skip to line 7 and complete lines 7 through 10.</b>   |           |        |             |        |             |        |              |        |            |        |              |        |
| 4. Trading Securities  |           | 965A   |             | 965B   |             | 965C1  |              | 965C2  |            | 965D   |              | 965    |
| 5. Available-for-Sale Securities   |           | 797A   |             | 797B   |             | 797C1  |              | 797C2  |            | 797D   |              | 797E   |
| 6. Held-to-Maturity Securities <sup>1</sup>  |           | 796A   |             | 796B   |             | 796C1  |              | 796C2  |            | 796D   |              | 796E   |
| 7. Equity Securities   |           | AS0050 |             | AS0051 |             | AS0052 |              | AS0053 |            | AS0054 |              | AS0055 |
| 8. Trading Debt Securities   |           | AS0056 |             | AS0057 |             | AS0058 |              | AS0059 |            | AS0060 |              | AS0061 |
| 9. Available-for-Sale Debt Securities  |           | AS0062 |             | AS0063 |             | AS0064 |              | AS0065 |            | AS0066 |              | AS0067 |
| 10. Held-to-Maturity Debt Securities <sup>1</sup>  |           | AS0068 |             | AS0069 |             | AS0070 |              | AS0071 |            | AS0072 |              | AS0073 |
| a. Allowance for Credit Losses on HTM Debt Securities - Enter an amount if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL), as applicable |           |        |             |        |             |        |              |        |            |        |              | AS0041 |
| b. Held-to-Maturity Securities, net of allowance for credit losses on HTM Debt Securities (Sum of 796E, AS0073, and AS0041)  |           |        |             |        |             |        |              |        |            |        |              | AS0001 |
| 11. Deposits in commercial banks, S&Ls, savings banks  |           | 744A   |             | 744B   |             | 744C1  |              | 744C2  |            | 744D   |              | 744C   |
| 12. Loans to and investments in natural person credit unions   |           | 672A   |             | 672B   |             | 672C1  |              | 672C2  |            | 672D   |              | 672C   |
| 13. Nonperpetual Capital Account   |           |        |             | 769A1  |             |        |              |        |            |        |              | 769A   |
| 14. Perpetual Contributed Capital  |           |        |             | 769B1  |             |        |              |        |            |        |              | 769B   |
| 15. All other investments in corporate credit unions   |           | 652A   |             | 652B   |             | 652C1  |              | 652C2  |            | 652D   |              | 652C   |
| 16. All other investments  |           | 766A   |             | 766B   |             | 766C1  |              | 766C2  |            | 766D   |              | 766E   |
| 17. TOTAL INVESTMENTS - Sum of Accounts 965, 797E, AS0055, AS0061, AS0067, AS0001, 744C, 672C, 769A, 769B, 652C, and 766E.   |           | 799A1  |             | 799B   |             | 799C1  |              | 799C2  |            | 799D   |              | 799I   |

<sup>1</sup> Also complete Line 10 a. if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL).

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**ASSETS -- CONTINUED**

**LOANS HELD FOR SALE:** See Instructions.

|                         | Amount | Acct |
|-------------------------|--------|------|
| 18. Loans Held for Sale |        | 003  |

**LOANS AND LEASES:**

|   | Number of Loans | Acct | Amount | Acct   |
|---|-----------------|------|--------|--------|
| 19. TOTAL LOANS & LEASES  |                 | 025A |        | 025B   |
| 20. Less: Allowance for Loan & Lease Losses - Skip to item 21 if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)             |                 |      |        | 719    |
| 21. Less: Allowance for Credit Losses on Loans & Leases - Enter an amount if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL) |                 |      |        | AS0048 |

**OTHER ASSETS:**

|   | Number of Loans | Acct  | Amount | Acct  |        |      |
|---|-----------------|-------|--------|-------|--------|------|
| 22. Foreclosed and Repossessed Assets   |                 |       |        |       |        |      |
| a. Real Estate  |                 | 798B1 |        | 798A1 |        |      |
| b. Automobiles  |                 | 798B2 |        | 798A2 |        |      |
| c. Other  |                 | 798B3 |        | 798A3 |        |      |
| d. Total Foreclosed and Repossessed Assets  |                 | 798B  |        | 798A  | Amount | Acct |
| 23. Land and Building   |                 |       |        |       |        | 007  |
| 24. Other Fixed Assets  |                 |       |        |       |        | 008  |
| 25. NCUA Share Insurance Capitalization Deposit   |                 |       |        |       |        | 794  |
| 26. Intangible Assets   |                 |       | Amount | Acct  |        |      |
| a. Identifiable Intangible Assets   |                 |       |        | 009D1 |        |      |
| b. Goodwill   |                 |       |        | 009D2 |        |      |
| c. Total Intangible Assets  |                 |       |        |       |        | 009D |
| 27. Other Assets  |                 |       | Amount | Acct  |        |      |
| a. Accrued Interest on Loans  |                 |       |        | 009A  |        |      |
| b. Accrued Interest on Investments  |                 |       |        | 009B  |        |      |
| c. All Other Assets   |                 |       |        | 009C  |        |      |
| d. Non-Trading Derivative Assets  |                 |       |        | 009E  |        |      |
| e. Total Other Assets   |                 |       |        |       |        | 009  |
| 28. <b>TOTAL ASSETS</b> (Sum of items 1, 2d, 3, 17, 18, 19 less 20 and 21, 22d, 23, 24, 25, 26c, and 27e) |                 |       |        |       |        | 010  |

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_***This page must be completed by all credit unions.***LIABILITIES:**

|   | A. < 1 Year | Acct | B1. 1 - 3 Years | Acct  | B2. > 3 Years | Acct  | C. Total Amount | Acct   |
|---|-------------|------|-----------------|-------|---------------|-------|-----------------|--------|
| 1. Draws Against Lines of Credit  |             | 883A |                 | 883B1 |               | 883B2 |                 | 883C   |
| 2. Other Notes, Promissory Notes and Interest Payable   |             | 011A |                 | 011B1 |               | 011B2 |                 | 011C   |
| 3. Borrowing Repurchase Transactions  |             | 058A |                 | 058B1 |               | 058B2 |                 | 058C   |
| 4. Subordinated Debt  |             | 867A |                 | 867B1 |               | 867B2 |                 | 867C   |
| 5. Subordinated Debt included in Net Worth  |             |      |                 | 925A1 |               | 925A2 |                 | 925A   |
| 6. TOTALS (each column)   |             | 860A |                 | 860B1 |               | 860B2 |                 | 860C   |
| 7. Non-Trading Derivative Liabilities   |             |      |                 |       |               |       |                 | 825A   |
| 8. Accrued Dividends & Interest Payable on Shares & Deposits  |             |      |                 |       |               |       |                 | 820A   |
| 9. Accounts Payable and Other Liabilities   |             |      |                 |       |               |       |                 | 825    |
| Enter an amount in Account LI0003 if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL), as applicable. |             |      |                 |       |               |       |                 |        |
| 10. Allowance for Credit Losses on Off-Balance Sheet Credit Exposures   |             |      |                 |       |               |       |                 | LI0003 |

**SHARES/DEPOSITS:**

|  | Dividend Rate | Acct | Number of Accounts | Acct | A. < 1 Year | Acct | B1. 1 - 3 Years | Acct  | B2. > 3 Years | Acct  | C. Total Amount | Acct   |
|--|---------------|------|--------------------|------|-------------|------|-----------------|-------|---------------|-------|-----------------|--------|
| 11. Share Drafts   |               | 553  |                    | 452  |             | 902A |                 |       |               |       |                 | 902    |
| 12. Regular Shares   |               | 552  |                    | 454  |             | 657A |                 |       |               |       |                 | 657    |
| 13. Money Market Shares  |               | 532  |                    | 458  |             | 911A |                 |       |               |       |                 | 911    |
| 14. Share Certificates   |               | 547  |                    | 451  |             | 908A |                 | 908B1 |               | 908B2 |                 | 908C   |
| 15. IRA/KEOGH Accounts   |               | 554  |                    | 453  |             | 906A |                 | 906B1 |               | 906B2 |                 | 906C   |
| 16. All Other Shares   |               | 585  |                    | 455  |             | 630A |                 | 630B1 |               | 630B2 |                 | 630    |
| 17. TOTAL SHARES   |               |      |                    | 966  |             | 013A |                 | 013B1 |               | 013B2 |                 | 013    |
| 18. Nonmember Deposits   |               | 599  |                    | 457  |             | 880A |                 | 880B1 |               | 880B2 |                 | 880    |
| 19. TOTAL SHARES AND DEPOSITS  |               |      |                    | 460  |             | 018A |                 | 018B1 |               | 018B2 |                 | 018    |
| 20. TOTAL LIABILITIES - Sum Items 6, 7, 8, 9, 10, and 19   |               |      |                    |      |             |      |                 |       |               |       |                 | LI0069 |
| <b>Additional information on Shares/ Deposits reported in items 11 - 18 above:</b>   |               |      |                    |      |             |      |                 |       |               |       | Amount          | Acct   |
| 21. Accounts Held by Member Government Depositors  |               |      |                    |      |             |      |                 |       |               |       |                 | 631    |
| 22. Accounts Held by Nonmember Government Depositors   |               |      |                    |      |             |      |                 |       |               |       |                 | 632    |
| 23. Employee Benefit Member Shares   |               |      |                    |      |             |      |                 |       |               |       |                 | 633    |
| 24. Employee Benefit Nonmember Shares  |               |      |                    |      |             |      |                 |       |               |       |                 | 634    |
| 25. 529 Plan Member Deposits   |               |      |                    |      |             |      |                 |       |               |       |                 | 635    |
| 26. Non-dollar denominated deposits  |               |      |                    |      |             |      |                 |       |               |       |                 | 636    |
| 27. Health Savings Accounts  |               |      |                    |      |             |      |                 |       |               |       |                 | 637    |
| 28. Dollar Amount of Share Certificates equal to or greater than \$100,000 (Excluding brokered share certificates participated out by the broker in shares of less than \$100,000) |               |      |                    |      |             |      |                 |       |               |       |                 | 638    |
| 29. Dollar Amount of IRA/Keogh Accounts equal to or greater than \$100,000   |               |      |                    |      |             |      |                 |       |               |       |                 | 639    |
| 30. Dollar Amount of Share Drafts Swept to Regular Shares or Money Market Accts as part of Sweep Program   |               |      |                    |      |             |      |                 |       |               |       |                 | 641    |
| 31. Dollar Amount of Commercial Share Accounts   |               |      |                    |      |             |      |                 |       |               |       |                 | 643    |
| 32. Negative Shares Included in All Other Unsecured Loans/Lines of Credit on Page 6  |               |      |                    |      |             |      |                 |       |               |       |                 | 644    |

NCUA 5300

Effective March 31, 2019

Previous Editions Are Obsolete

**STATEMENT OF FINANCIAL CONDITION AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**EQUITY:**

|  | Amount | Acct   |
|--|--------|--------|
| 33. Undivided Earnings   |        | 940    |
| 34. Regular Reserves   |        | 931    |
| 35. Appropriation for Non-Conforming Investments (State Credit Unions ONLY)  |        | 668    |
| 36. Other Reserves.(Appropriations of Undivided Earnings)  |        | 658    |
| 37. Equity Acquired in Merger  |        | 658A   |
| 38. Miscellaneous Equity   |        | 996    |
| 39. Other Comprehensive Income (not already included in items 40 - 43)   |        | 945B   |
| 40. Accumulated Unrealized Net Gains (Losses) on Cash Flow Hedges  |        | 945A   |
| 41. Accumulated Unrealized Gains (Losses) on Available for Sale Debt Securities  |        | EQ0009 |
| 42. Accumulated Unrealized Losses for OTTI (Due to Other Factors) on <b>HTM Debt Securities</b> - Skip to item 43 if you have early adopted ASC Topic 326 Financial Instruments - Credit Losses (CECL) |        | 945C   |
| 43. Net Income (unless this amount is already included in Undivided Earnings)  |        | 602    |
| 44. <b>TOTAL LIABILITIES, SHARES, AND EQUITY</b> (Sum items 20 and 33-43; must equal item 28, page 2.)   |        | 014    |

*If the credit union has any unfunded commitments or loans sold or swapped with recourse, complete Page 11 - Liquidity, Commitments and Sources.*

**NCUA INSURED SAVINGS COMPUTATION**

*This section must be completed by all credit unions.*

| Insured shares and deposits, as described in Part 745 of the NCUA Rules and Regulations, are authorized by state law and issued to members (or nonmembers in the case of low-income designated credit unions), other credit unions, or government depositors. Report uninsured shares in this section. Do not include notes payable or other forms of borrowings. Eligible accounts are generally insured up to \$250,000 each. |  |                  |       |
|---|--|------------------|-------|
| (See instructions for the following items.)   |  | Uninsured Amount | Acct  |
| A.  | Uninsured IRA and KEOGH Member Shares and Deposits                         |                  | 065A1 |
| A1.   | Uninsured KEOGH Member Shares and Deposits for Employee Benefit Plans      |                  | 065A3 |
| B.  | Uninsured Employee Benefit Member Shares and Deposits                      |                  | 065B1 |
| C.  | Uninsured Member 529 Plan Deposits   |                  | 065C1 |
| D.  | Uninsured Member Accounts Held by Government Depositors                    |                  | 065D1 |
| E.  | Other Uninsured Member Shares and Deposits                                 |                  | 065E1 |
| F.  | <b>TOTAL UNINSURED MEMBER SHARES AND DEPOSITS (A+A1+B+C+D+E)</b>           |                  | 065A4 |
| G.  | Uninsured Nonmember Employee Benefit Shares and Deposits                   |                  | 067A1 |
| H.  | Uninsured Nonmember Accounts Held by Government Depositors                 |                  | 067B1 |
| I.  | Other Uninsured Nonmember Shares and Deposits                              |                  | 067C1 |
| J.  | <b>TOTAL UNINSURED NONMEMBER SHARES AND DEPOSITS (G+H+I)</b>               |                  | 067A2 |
| K.  | <b>TOTAL UNINSURED SHARES AND DEPOSITS (F+J)</b>                           |                  | 068A  |
| L.  | <b>TOTAL INSURED SHARES AND DEPOSITS (item 19 from page 3 less item K)</b> |                  | 069A  |

**STATEMENT OF INCOME AND EXPENSE***This page must be completed by all credit unions.***REPORT YEAR-TO-DATE NUMBERS FOR THE CYCLE**

| <b>INTEREST INCOME YEAR-TO-DATE FOR THE CYCLE</b>  |    |   |  | <b>Amount</b> | <b>Acct</b> |
|--|----|---|--|---------------|-------------|
| 1. Interest on Loans (Gross-before interest refunds)   |    |   |  |               | 110         |
| 2. (Less) Interest Refunded  |    |   |  |               | 119         |
| 3. Income from Investments (Including Interest and Dividends, excluding securities held in a trading account)  |    |   |  |               | 120         |
| 4. Interest income on Securities held in a Trading account   |    |   |  |               | IS0004      |
| 5. TOTAL INTEREST INCOME (Sum of items 1-4)  |    |   |  |               | 115         |
| <b>INTEREST EXPENSE YEAR-TO-DATE FOR THE CYCLE</b>   |    |   |  |               |             |
| 6. Dividends on Shares (Includes dividends earned during current period)   |    |   |  |               | 380         |
| 7. Interest on Deposits (Total interest expense for deposit accounts) (State Credit Union ONLY)  |    |   |  |               | 381         |
| 8. Interest on Borrowed Money  |    |   |  |               | 340         |
| 9. TOTAL INTEREST EXPENSE (Sum of items 6-8)   |    |   |  |               | 350         |
| 10. Provision for Loan & Lease Losses - Skip to item 11 if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)  |    |   |  |               | 300         |
| Complete Item 11 AND Items 17 and 18 on Page 12 if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)  |    |   |  |               |             |
| 11. Credit Loss Expense  | a. | Loans & Leases  |  | IS0011        |             |
|  | b. | Available-for-Sale Debt Securities  |  | IS0012        |             |
|  | c. | Held-to-Maturity Debt Securities  |  | IS0013        |             |
|  | d. | Off-Balance Sheet Credit Exposures  |  | IS0016        |             |
|  | e. | Total Credit Loss Expense   |  |               |             |
| 12. NET INTEREST INCOME AFTER PROVISION FOR LOAN AND LEASE LOSSES or CREDIT LOSS EXPENSE (Item 5 less Items 9, 10, and 11e)  |    |   |  |               | 116         |
| <b>NON-INTEREST INCOME YEAR-TO-DATE FOR THE CYCLE</b>  |    |   |  |               |             |
| 13. Fee Income   |    |   |  |               | 131         |
| 14. Other Operating Income (Includes unconsolidated CUSO Income and Gain (Loss) associated with the Hedged Item (Non-Investment Assets) in a Non-Trading, Fair Value (FV) Derivatives Hedge) |    |   |  |               | 659         |
| 15. Gain (Loss) on Equity Securities (DO NOT include Gain (Loss) on other securities)  |    |   |  |               | IS0021      |
| 16. Gain (Loss) on Other Securities (DO NOT include Gain or Loss on Equity Securities)   |    |   |  |               | IS0022      |
|  | a. | Total Other-Than-Temporary Impairment (OTTI) Losses   |  | 420A          |             |
|  | b. | Less: Portion OTTI Losses in Other Comprehensive Income.  |  | 420B          |             |
|  | c. | OTTI Losses Recognized in Earnings (Include in Item 16)   |  | 420C          |             |
|  | d. | Gain (Loss) associated with the Hedged Item (Investments) in a Non-Trading, FV Derivatives Hedge (Include in Item 16) |  | 420D          |             |
| 17. Gain (Loss) on Non-Trading Derivatives   |    |   |  |               | 421         |
| 18. Gain (Loss) on Disposition of Fixed Assets   |    |   |  |               | 430         |
| 19. Gain from Bargain Purchase (Merger)  |    |   |  |               | 431         |
| 20. Other Non-operating Income (Expense)   |    |   |  |               | 440         |
| 21. TOTAL NON-INTEREST INCOME (Sum of items 13-20)   |    |   |  |               | 117         |
| <b>NON-INTEREST EXPENSE YEAR-TO-DATE FOR THE CYCLE</b>   |    |   |  |               |             |
| 22. Total Employee Compensation and Benefits   |    |   |  |               | 210         |
| 23. Travel and Conference Expense  |    |   |  |               | 230         |
| 24. Office Occupancy Expense   |    |   |  |               | 250         |
| 25. Office Operations Expense  |    |   |  |               | 260         |
| 26. Educational and Promotional Expenses   |    |   |  |               | 270         |
| 27. Loan Servicing Expense   |    |   |  |               | 280         |
| 28. Professional and Outside Services  |    |   |  |               | 290         |
| 29. Member Insurance   |    |   |  | <b>Amount</b> | <b>Acct</b> |
|  | a. | NCUSIF Premium Expense  |  | 311A          |             |
|  | b. | Other Member Insurance Expense  |  | 310A          |             |
|  | c. | Total Member Insurance  |  |               |             |
| 30. Operating Fees (Examination and/or supervision fees)   |    |   |  |               | 320         |
| 31. Miscellaneous Operating Expenses   |    |   |  |               | 360         |
| 32. TOTAL NON-INTEREST EXPENSE (Sum of items 22-31)  |    |   |  |               | 671         |
| 33. NET INCOME (LOSS) (item 12 plus item 21 less item 32)  |    |   |  |               | 661A        |
| <b>RESERVE TRANSFERS YEAR-TO-DATE FOR THE CYCLE</b>  |    |   |  |               |             |
| 34. Transfer to Regular Reserves   |    |   |  |               | 393         |



Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

LOANS &amp; LEASES AS OF: \_\_\_\_\_

*This page must be completed by all credit unions.*

**LOANS & LEASES:** Report the interest rate, number, and amount of credit union loans on items 1 through 13 below. The Number (Account Code 025A1) and Amount (Account Code 025B1) reported on item 14 should equal the Number (Account Code 025A) and Amount (Account Code 025B) reported on Page 2 item 17. Report participation loans (loans purchased by the credit union) or indirect loans in the appropriate category within this section. Also, please complete Schedule A - Specialized Lending, if your credit union has any indirect loans, real estate loans, commercial loans, member business loans, troubled debt restructured, or purchased credit impaired loans outstanding or if the credit union originated any real estate loans, commercial, or member business loans during the reporting period.

|  | Interest Rate | Acct | Number of Loans | Acct  | Amount | Acct  |
|--|---------------|------|-----------------|-------|--------|-------|
| <b>Non-Commercial Loans/Lines of Credit</b>  |               |      |                 |       |        |       |
| 1. Unsecured Credit Card Loans   |               | 521  |                 | 993   |        | 396   |
| 2. Payday Alternative Loans (PAL loans) (Federal CU Only)  |               | 522A |                 | 994A  |        | 397A  |
| 3. Non-Federally Guaranteed Student Loans  |               | 595A |                 | 963A  |        | 698A  |
| 4. All Other Unsecured Loans/Lines of Credit   |               | 522  |                 | 994   |        | 397   |
| 5. New Vehicle Loans   |               | 523  |                 | 958   |        | 385   |
| 6. Used Vehicle Loans  |               | 524  |                 | 968   |        | 370   |
| 7. Leases Receivable   |               | 565  |                 | 954   |        | 002   |
| 8. All Other Secured Non-Real Estate Loans/Lines of Credit   |               | 595B |                 | 963C  |        | 698C  |
| 9. Loans/Lines of Credit Secured by a First Lien on a single 1- to 4-Family Residential Property   |               | 563A |                 | 959A  |        | 703A  |
| 10. Loans/Lines of Credit Secured by a Junior Lien on a single 1- to 4-Family Residential Property |               | 562A |                 | 960A  |        | 386A  |
| 11. All Other Real Estate Loans/Lines of Credit  |               | 562B |                 | 960B  |        | 386B  |
| <b>Commercial Loans/Lines of Credit</b>  |               |      |                 |       |        |       |
| 12. Commercial Loans/Lines of Credit Real Estate Secured   |               | 525  |                 | 900K4 |        | 718A5 |
| 13. Commercial Loans/Lines of Credit Not Real Estate Secured                                       |               | 526  |                 | 900P  |        | 400P  |
| 14. <b>TOTAL LOANS &amp; LEASES (Sum of items 1-13 must equal total loans and leases, Page 2.)</b> |               |      |                 | 025A1 |        | 025B1 |

| <b>YEAR-TO-DATE LOAN INFORMATION</b>  |  | Number | Acct | Amount | Acct |
|---|--|--------|------|--------|------|
| 15. Loans Granted Year-to-Date  |  |        | 031A |        | 031B |
| a. Payday Alternative Loans (PAL loans) Granted Year-to-Date (also include amount in item 15) (Federal credit union ONLY) |  |        | 031C |        | 031D |

| <b>MISCELLANEOUS LOAN INFORMATION</b>                                      |  | Number | Acct | Amount | Acct |
|--|--|--------|------|--------|------|
| 16. Non-Federally Guaranteed Student Loans in Deferred Status              |  |        | 963B |        | 698B |
| 17. Loans Outstanding to Credit Union Officials and Senior Executive Staff |  |        | 995  |        | 956  |

| <b>GOVERNMENT GUARANTEED LOANS</b>                         |  | Number | Acct | Outstanding Balance | Acct | Guaranteed Portion | Acct   |
|--|--|--------|------|---------------------|------|--------------------|--------|
| 18. Non-Commercial Loans (contained in items 1 - 11 above) |  |        |      |                     |      |                    |        |
| a. Small Business Administration                           |  | LN0050 |      | LN0051              |      |                    | LN0052 |
| b. Other Government Guaranteed                             |  | LN0053 |      | LN0054              |      |                    | LN0055 |
| 19. Commercial Loans (contained in items 12 - 13 above)    |  |        |      |                     |      |                    |        |
| a. Small Business Administration                           |  | 691B1  |      | 691C1               |      |                    | 691C2  |
| b. Other Government Guaranteed                             |  | 691P   |      | 691P1               |      |                    | 691P2  |

NCUA 5300

Effective March 31, 2019

Previous Editions Are Obsolete

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**MISCELLANEOUS INFORMATION AS OF: \_\_\_\_\_***This page must be completed by all credit unions.*

| <b>ADDITIONAL SHARE INSURANCE</b>   | <b>Yes or No</b> | <b>Acct</b> |
|---|------------------|-------------|
| 1. Does your credit union maintain share/deposit insurance coverage other than the NCUSIF? (Do not include Life Savings and Borrowers' Protection Insurance or Surety Bond Coverage.) |                  | <b>875</b>  |

|   |  |            |
|---|--|------------|
| a. If so, indicate the name of the insurance company                          |  | <b>876</b> |
| b. Dollar amount of shares and/or deposits insured by the company named above |  | <b>877</b> |

| <b>MISCELLANEOUS INFORMATION</b>                      | <b>Number</b> | <b>Acct</b> |
|---|---------------|-------------|
| 2. Number of current members (not number of accounts) |               | <b>083</b>  |
| 3. Number of potential members                        |               | <b>084</b>  |

| 4. Number of credit union employees who are: | <b>Number</b> | <b>Acct</b> |
|--|---------------|-------------|
| a. Full-Time (26 hours or more per week)     |               | <b>564A</b> |
| b. Part-Time (25 hours or less per week)     |               | <b>564B</b> |

| 5. Provide the aggregate of all capital and operating lease payments on fixed assets, without discounting commitments for future payments to present value | <b>Amount</b> | <b>Acct</b> |
|--|---------------|-------------|
|  |               | <b>980</b>  |

| 6. Has the credit union completed a merger or acquisition that qualifies for Business Combination Accounting on or after January 1, 2009? If this answer is "Yes" please complete item 7 on Page 12. | <b>Yes or No</b> | <b>Acct</b> |
|--|------------------|-------------|
|  |                  | <b>1003</b> |

| 7. If you have a transactional world wide website, how many members use it | <b>Number</b> | <b>Acct</b> |
|--|---------------|-------------|
|  |               | <b>892B</b> |

| 8. Does the credit union plan to add any new branches or expand existing facilities in the next 12 months? | <b>Yes or No</b> | <b>Acct</b> |
|--|------------------|-------------|
|  |                  | <b>566B</b> |

| <b>UNINSURED SECONDARY CAPITAL</b>                              | <b>1 - 3 Years</b> | <b>Acct</b>  | <b>&gt; 3 Years</b> | <b>Acct</b>  | <b>Total Amount</b> | <b>Acct</b> |
|---|--------------------|--------------|---------------------|--------------|---------------------|-------------|
| 9. Uninsured Secondary Capital (Low-Income Designated CUs Only) |                    | <b>925B1</b> |                     | <b>925B2</b> |                     | <b>925</b>  |

| <b>YEAR-TO-DATE GRANTS</b>                                      | <b>Amount</b> | <b>Acct</b> |
|---|---------------|-------------|
| 10. Amount of Grants Awarded to Your Credit Union Year-to-Date  |               | <b>926</b>  |
| 11. Amount of Grants Received by Your Credit Union Year-to-Date |               | <b>927</b>  |

| <b>INTERNATIONAL REMITTANCES</b>                                | <b>Number</b> | <b>Acct</b> |
|---|---------------|-------------|
| 12. Number of International Remittances Originated Year-to-Date |               | <b>928</b>  |

**DELINQUENT LOANS BY COLLATERAL TYPE AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

**Report Number Only**

| TOTAL <u>NUMBER</u> OF DELINQUENT LOANS BY COLLATERAL TYPE |    | Reportable Delinquency                                  |       |             |       |              |       | Total Number of Reportable Delinquent Loans |  |
|--|----|---|-------|-------------|-------|--------------|-------|---|--|
|  |    | 30- 59 days   |       | 60-179 days |       | 180-359 days |       |   |  |
| 1.   | a. | Unsecured Credit Card Loans                             | 024A  | 026A        | 027A  | 028A         | 045A  |   |  |
| 2.   | a. | Payday Alternative Loans (PAL loans) (Federal CU Only)  | 089A  | 127A        | 128A  | 129A         | 130A  |   |  |
| 3.   | a. | Non-Federally Guaranteed Student Loans                  | 053A  | 053B        | 053C  | 053D         | 053E  |   |  |
| 4.   | a. | New Vehicle Loans                                       | 035A1 | 035B1       | 035C1 | 035D1        | 035E1 |   |  |
| 5.   | a. | Used Vehicle Loans                                      | 035A2 | 035B2       | 035C2 | 035D2        | 035E2 |   |  |
| 6.   |    | 1st Mortgage Real Estate Loans/Lines of Credit          |       |             |       |              |       |   |  |
|  | a. | 1. Fixed Rate (incl. Hybrid/Balloon > 5 yrs)            | 029A  | 029B        | 029C  | 029D         | 029E  |   |  |
|  | a. | 2. Adjustable Rate (incl. Hybrid/Balloon 5 yrs or less) | 030A  | 030B        | 030C  | 030D         | 030E  |   |  |
| 7.   |    | Other Real Estate Loans/Lines of Credit                 |       |             |       |              |       |   |  |
|  | a. | 1. Fixed Rate/Hybrid/Balloon                            | 032A  | 032B        | 032C  | 032D         | 032E  |   |  |
|  | a. | 2. Adjustable Rate                                      | 033A  | 033B        | 033C  | 033D         | 033E  |   |  |
| 8.   | a. | Leases Receivable                                       | 034A  | 034B        | 034C  | 034D         | 034E  |   |  |
| 9.   | a. | All Other Loans (See Instructions)                      | 035A  | 035B        | 035C  | 035D         | 035E  |   |  |
| 10.  | a. | <b>TOTAL NUMBER OF DELINQUENT LOANS</b>                 | 020A  | 021A        | 022A  | 023A         | 041A  |   |  |

**Report Amount Only**

| TOTAL <u>AMOUNT</u> OF DELINQUENT LOANS BY COLLATERAL TYPE |    | Reportable Delinquency                                  |       |             |       |              |        | Total Amount of Reportable Delinquent Loans |  |
|--|----|---|-------|-------------|-------|--------------|--------|---|--|
|  |    | 30- 59 days   |       | 60-179 days |       | 180-359 days |        |   |  |
| 1.   | b. | Unsecured Credit Card Loans                             | 024B  | 026B        | 027B  | 028B         | 045B   |   |  |
| 2.   | b. | Payday Alternative Loans (PAL loans) (Federal CU Only)  | 089B  | 127B        | 128B  | 129B         | 130B   |   |  |
| 3.   | b. | Non-Federally Guaranteed Student Loans                  | 020T  | 021T        | 022T  | 023T         | 041T   |   |  |
| 4.   | b. | New Vehicle Loans                                       | 020C1 | 021C1       | 022C1 | 023C1        | 041C1  |   |  |
| 5.   | b. | Used Vehicle Loans                                      | 020C2 | 021C2       | 022C2 | 023C2        | 041C2  |   |  |
| 6.   | b. | 1st Mortgage Real Estate Loans/Lines of Credit          |       |             |       |              |        |   |  |
|  | b. | 1. Fixed Rate (incl. Hybrid/Balloon > 5 yrs)            | 751   | 752         | 753   | 754          | 713A   |   |  |
|  | b. | 2. Adjustable Rate (incl. Hybrid/Balloon 5 yrs or less) | 771   | 772         | 773   | 774          | 714A   |   |  |
| 7.   | b. | Other Real Estate Loans/Lines of Credit                 |       |             |       |              |        |   |  |
|  | b. | 1. Fixed Rate/Hybrid/Balloon                            | 755   | 756         | 757   | 758          | 715A   |   |  |
|  | b. | 2. Adjustable Rate                                      | 775   | 776         | 777   | 778          | 716A   |   |  |
| 8.   | b. | Leases Receivable                                       | 020D  | 021D        | 022D  | 023D         | 041D   |   |  |
| 9.   | b. | All Other Loans (See Instructions)                      | 020C  | 021C        | 022C  | 023C         | 041C   |   |  |
| 10.  | b. | <b>TOTAL AMOUNT OF DELINQUENT LOANS</b>                 | 020B  | 021B        | 022B  | 023B         | 041B   |   |  |
| 10.  | c. | <b>Amount of Loans in Non-Accrual Status</b>            |       |             |       |              | DL0121 |   |  |

**ADDITIONAL DELINQUENCY INFORMATION AS OF: \_\_\_\_\_**  
**(Included in the delinquent loan information reported on Page 8)**  
*This page must be completed by all credit unions.*

| Report Number Only |  | Reportable Delinquency |             |              |            | Total No. of Reportable Delinquent Loans |
|--------------------|--|------------------------|-------------|--------------|------------|--|
|                    |  | 30-59 days             | 60-179 days | 180-359 days | >=360 days |  |
| 11. a.             | Indirect Loans   | 036A                   | 036B        | 036C         | 036D       | 036E                                     |
| 12. a.             | Participation Loans  | 037A                   | 037B        | 037C         | 037D       | 037E                                     |
| 13. a.             | Interest Only & Payment Option 1st Mortgage Loans            | 038A                   | 038B        | 038C         | 038D       | 038E                                     |
| 14. a.             | Interest Only & Payment Option Other RE/LOC Loans            | 039A                   | 039B        | 039C         | 039D       | 039E                                     |
| 15. a.             | Residential Construction excluding Commercial Purpose        | 040A1                  | 040B2       | 040C1        | 040D1      | 040E1                                    |
| 16. a.             | Member Commercial Loans Secured by Real Estate               | 043A3                  | 043B3       | 043C3        | 043D3      | 043E3                                    |
| 17. a.             | Member Commercial Loans <b>NOT</b> Secured by Real Estate    | 043A4                  | 043B4       | 043C4        | 043D4      | 043E4                                    |
| 18. a.             | Nonmember Commercial Loans Secured by Real Estate            | 046A3                  | 046B3       | 046C3        | 046D3      | 046E3                                    |
| 19. a.             | Nonmember Commercial Loans <b>NOT</b> Secured By Real Estate | 046A4                  | 046B4       | 046C4        | 046D4      | 046E4                                    |
| 20. a.             | Agricultural Loans   | 044A1                  | 044B1       | 044C1        | 044D1      | 044E1                                    |
| 21. a.             | Commercial Construction & Development Loans                  | 047A1                  | 047B1       | 047C1        | 047D1      | 047E1                                    |
| 22. a.             | TDR Loans Secured by First Mortgages                         | 054A                   | 054B        | 054C         | 054D       | 054E                                     |
| 23. a.             | TDR Loans Secured by Other RE/LOCs                           | 055A                   | 055B        | 055C         | 055D       | 055E                                     |
| 24. a.             | TDR RE Loans Also Reported as Commercial Loans               | 056A1                  | 056B1       | 056C1        | 056D1      | 056E1                                    |
| 25. a.             | TDR Consumer Loans <b>NOT</b> Secured by Real Estate         | 057A                   | 057B        | 057C         | 057D       | 057E                                     |
| 26. a.             | TDR Commercial Loans <b>NOT</b> Secured by Real Estate       | 059A1                  | 059B1       | 059C1        | 059D1      | 059E1                                    |
| 27. a.             | Loans Held for Sale  | 060A                   | 060B        | 060C         | 060D       | 060E                                     |
| Report Amount Only |  | 30- 59 days            | 60-179 days | 180-359 days | >=360 days | Total Amt of Reportable Delinquent Loans |
| 11. b.             | Indirect Loans   | 020E                   | 021E        | 022E         | 023E       | 041E                                     |
| 12. b.             | Participation Loans  | 020F                   | 021F        | 022F         | 023F       | 041F                                     |
| 13. b.             | Interest Only & Payment Option 1st Mortgage Loans            | 020I                   | 021I        | 022I         | 023I       | 041I                                     |
| 14. b.             | Interest Only & Payment Option Other RE/LOC Loans            | 020M                   | 021M        | 022M         | 023M       | 041M                                     |
| 15. b.             | Residential Construction excluding Commercial Purpose        | 020N1                  | 021N1       | 022N1        | 023N1      | 041N1                                    |
| 16. b.             | Member Commercial Loans Secured by Real Estate               | 020G3                  | 021G3       | 022G3        | 023G3      | 041G3                                    |
| 17. b.             | Member Commercial Loans <b>NOT</b> Secured by Real Estate    | 020G4                  | 021G4       | 022G4        | 023G4      | 041G4                                    |
| 18. b.             | Nonmember Commercial Loans Secured by Real Estate            | 020P3                  | 021P3       | 022P3        | 023P3      | 041P3                                    |
| 19. b.             | Nonmember Commercial Loans <b>NOT</b> Secured By Real Estate | 020P4                  | 021P4       | 022P4        | 023P4      | 041P4                                    |
| 20. b.             | Agricultural Loans   | 020H1                  | 021H1       | 022H1        | 023H1      | 041H1                                    |
| 21. b.             | Commercial Construction & Development Loans                  | 020Q1                  | 021Q1       | 022Q1        | 023Q1      | 041Q1                                    |
| 22. b.             | TDR Loans Secured by First Mortgages                         | 020U                   | 021U        | 022U         | 023U       | 041U                                     |
| 23. b.             | TDR Loans Secured by Other RE/LOCs                           | 020V                   | 021V        | 022V         | 023V       | 041V                                     |
| 24. b.             | TDR RE Loans Also Reported as Commercial Loans               | 020W1                  | 021W1       | 022W1        | 023W1      | 041W1                                    |
| 25. b.             | TDR Consumer Loans <b>NOT</b> Secured by Real Estate         | 020X                   | 021X        | 022X         | 023X       | 041X                                     |
| 26. b.             | TDR Commercial Loans <b>NOT</b> Secured by Real Estate       | 020Y1                  | 021Y1       | 022Y1        | 023Y1      | 041Y1                                    |
| 27. b.             | Loans Held for Sale  | 071F                   | 071G        | 071H         | 071I       | 071J                                     |

**LOAN CHARGE OFFS AND RECOVERIES AS OF: \_\_\_\_\_**

*This page must be completed by all credit unions.*

| <b>LOAN LOSS INFORMATION</b>                              | <b>YTD Charge Offs</b> | <b>Acct</b> | <b>YTD Recoveries</b> | <b>Acct</b> |
|---|------------------------|-------------|-----------------------|-------------|
| 1. Unsecured Credit Card Loans                            |                        | 680         |                       | 681         |
| 2. Payday Alternative Loans (PAL loans) (Federal CU Only) |                        | 136         |                       | 137         |
| 3. Non-Federally Guaranteed Student Loans                 |                        | 550T        |                       | 551T        |
| 4. New Vehicle Loans                                      |                        | 550C1       |                       | 551C1       |
| 5. Used Vehicle Loans                                     |                        | 550C2       |                       | 551C2       |
| 6. Total 1st Mortgage Real Estate Loans/Lines of Credit   |                        | 548         |                       | 607         |
| 7. Total Other Real Estate Loans/Lines of Credit          |                        | 549         |                       | 608         |
| 8. Leases Receivable                                      |                        | 550D        |                       | 551D        |
| 9. All Other Loans (See Instructions)                     |                        | 550C        |                       | 551C        |
| <b>10. Total Charge Offs and Recoveries</b>               |                        | <b>550</b>  |                       | <b>551</b>  |

| <b>ADDITIONAL LOAN LOSS INFORMATION (Included in the loan losses reported above.)</b> | <b>YTD Charge Offs</b> | <b>Acct</b> | <b>YTD Recoveries</b> | <b>Acct</b> |
|---|------------------------|-------------|-----------------------|-------------|
| 11. Indirect Loans  |                        | 550E        |                       | 551E        |
| 12. Participation Loans   |                        | 550F        |                       | 551F        |
| 13. Interest Only & Payment Option 1st Mortgage Loans                                 |                        | 550I        |                       | 551I        |
| 14. Interest Only & Payment Option Other RE/LOC Loans                                 |                        | 550M        |                       | 551M        |
| 15. Residential Construction excluding Commercial Purpose                             |                        | 550N1       |                       | 551N1       |
| 16. Member Commercial Loans Secured by Real Estate                                    |                        | 550G3       |                       | 551G3       |
| 17. Member Commercial Loans <b>NOT</b> Secured by Real Estate                         |                        | 550G4       |                       | 551G4       |
| 18. Nonmember Commercial Loans Secured by Real Estate                                 |                        | 550P3       |                       | 551P3       |
| 19. Nonmember Commercial Loans <b>NOT</b> Secured By Real Estate                      |                        | 550P4       |                       | 551P4       |
| 20. Agricultural Loans  |                        | 550H1       |                       | 551H1       |
| 21. Commercial Construction & Development Loans                                       |                        | 550Q1       |                       | 551Q1       |
| 22. TDR Loans Secured by First Mortgages  |                        | 550U        |                       | 551U        |
| 23. TDR Loans Secured by Other RE/LOCs  |                        | 550V        |                       | 551V        |
| 24. TDR RE Loans Also Reported as Commercial Loans                                    |                        | 550W1       |                       | 551W1       |
| 25. TDR Consumer Loans <b>NOT</b> Secured by Real Estate                              |                        | 550X        |                       | 551X        |
| 26. TDR Commercial Loans <b>NOT</b> Secured by Real Estate                            |                        | 550Y1       |                       | 551Y1       |

| <b>BANKRUPTCY INFORMATION</b>  | <b>No. of Members</b> | <b>Acct</b> | <b>Amount</b> | <b>Acct</b> |
|--|-----------------------|-------------|---------------|-------------|
| 27. All loans charged off due to Bankruptcy YTD  |                       |             |               | 682         |
| 28. Number of members with loans (outstanding) who have filed for:                         |                       |             |               |             |
| a. Chapter 7 Bankruptcy YTD  |                       | 081         |               |             |
| b. Chapter 13 Bankruptcy YTD   |                       | 082         |               |             |
| c. Chapter 11 or 12 Bankruptcy YTD   |                       | 088         |               |             |
| 29. Total outstanding loan balances subject to bankruptcies identified in items 28a - 28c. |                       |             |               | 971         |

| <b>FORECLOSURE INFORMATION</b>  | <b>No. of Loans</b> | <b>Acct</b> | <b>Amount</b> | <b>Acct</b> |
|---|---------------------|-------------|---------------|-------------|
| 30. Real Estate Loans Foreclosed Year-to-Date (Report Balance at time of Foreclosure) |                     | 1005A       |               | 1005        |

| <b>FEDERAL CREDIT UNION INTEREST RATE CEILING REPORT</b>  | <b>Amount</b> | <b>Acct</b> |
|---|---------------|-------------|
| 31. Federal Credit Union Interest Rate Ceiling  |               |             |
| a. Dollar amount of loans with interest rates that exceed 15% ( <b>Federal Credit Unions Only</b> )                                 |               | 567         |
| b. Aggregate weighted average interest rate for the loans with interest rates that exceed 15% ( <b>Federal Credit Unions Only</b> ) |               | 568         |

**LIQUIDITY, COMMITMENTS, AND SOURCES AS OF: \_\_\_\_\_**

All credit unions must complete lines 1 through 10, if applicable.

| <b>OFF-BALANCE SHEET COMMITMENTS AND OTHER ITEMS</b>   |   |  |   |       |   |       |                  |       |
|--|---|--|---|-------|---|-------|------------------|-------|
|  |   |  | Amount Committed<br>Directly by Credit<br>Union | Acct  | Amount Committed<br>through<br>Third Party/Indirect | Acct  | Total Amount     | Acct  |
| <b>1. Total Unfunded Commitments for Commercial Loans</b>  |   |  |   | 814K1 |   | 814K2 |                  | 814K  |
| <b>2. Miscellaneous Commercial Loan Unfunded Commitments (Include in item 1 above as appropriate.)</b> |   |  |   |       |   |       |                  |       |
| A.   | Agricultural Related Commercial Loans   |  |   | 814J3 |   | 814J4 |                  | 814J5 |
| B.   | Construction & Land Development   |  |   | 814A6 |   | 814A7 |                  | 814A8 |
| C.   | Outstanding Letters of Credit   |  |   | 813A1 |   | 813B1 |                  | 813A2 |
| <b>3. Unfunded Commitments for All Remaining Loans (Non-Commercial Loans)</b>                          |   |  |   |       |   |       |                  |       |
| A.   | Revolving Open-End lines secured by 1-4 Family Residential Properties         |  |   | 811D1 |   | 811D2 |                  | 811D  |
| B.   | Credit Card Lines   |  |   | 812A1 |   | 812B1 |                  | 812C  |
| C.   | Unsecured Share Draft Lines of Credit   |  |   | 815A1 |   | 815B1 |                  | 815C  |
| D.   | Overdraft Protection Program Commitments                                      |  |   | 822A1 |   | 822B1 |                  | 822C  |
| E.   | Residential Construction Loans excluding Commercial purpose                   |  |   | 811E1 |   | 811E2 |                  | 811E  |
| F.   | Federally Insured Home Equity Conversion Mortgages (HECM) (Reverse Mortgages) |  |   | 811B3 |   | 811B4 |                  | 811B5 |
| G.   | Proprietary Reverse Mortgage Products   |  |   | 811C3 |   | 811C4 |                  | 811C5 |
| H.   | Other Unfunded Commitments  |  |   | 816B3 |   | 816B4 |                  | 816B5 |
| I.   | <b>Total Unfunded Commitments for Non-Commercial Loans</b>                    |  |   | 816T1 |   | 816T2 |                  | 816T  |
| <b>Total Unfunded Commitments for all loan types (Sum items 1 and 3I)</b>                              |   |  |   | 816A1 |   | 816A2 |                  | 816A  |
| 4. Dollar Amount of Pending Bond Claims  |   |  |   |       |   |       |                  | 818   |
| <b>CONTINGENT LIABILITIES</b>  |   |  |   |       |   |       |                  |       |
| 5. Loans Transferred with Limited Recourse Qualifying for Sales Accounting                             |   |  |   |       |   |       |                  | 819   |
| 6. Other Contingent Liabilities  |   |  |   |       |   |       |                  | 818A  |
| <b>CREDIT AND BORROWING ARRANGEMENTS</b>   |   |  |   |       |   |       |                  |       |
| 7. Amount of Borrowings Subject to Early Repayment at Lender's Option                                  |   |  |   |       |   |       |                  | 865A  |
| 8. Assets Pledged to Secure Borrowings   |   |  |   |       |   |       |                  | 878   |
| <b>9. Lines of Credit</b>  |   |  |   |       |   |       |                  |       |
|  |   |  | Uncommitted LOC                                 | Acct  | Committed LOC                                       | Acct  | Total Amount     | Acct  |
| A.   | Corporate Credit Unions   |  |   | 884A1 |   | 884A2 |                  | 884   |
| B.   | Natural Person Credit Unions  |  |   | 884C1 |   | 884C2 |                  | 884C  |
| C.   | Other Credit Lines  |  |   | 884D1 |   | 884D2 |                  | 884D  |
| D.   | <b>TOTAL</b>  |  |   | 884E  |   | 882   |                  | 881   |
| <b>10. Borrowings</b>  |   |  |   |       |   |       |                  |       |
|  |   |  | Draws Against LOC                               | Acct  | Term Borrowings                                     | Acct  | Other Borrowings | Acct  |
| A.   | Corporate Credit Unions   |  |   | 885A  |   | 885B  |                  | 885C  |
| B.   | Natural Person Credit Unions  |  |   | 885A1 |   | 885B1 |                  | 885C1 |
| C.   | Other Sources   |  |   | 885A2 |   | 885B2 |                  | 885C2 |
| D.   | FHLB  |  |   | 885A3 |   | 885B3 |                  | 885C3 |
| E.   | CLF   |  |   |       |   | 885B4 |                  | 885C4 |
| F.   | FRB   |  |   |       |   |       |                  | 885C5 |
| G.   | <b>TOTAL</b>  |  |   | 885A4 |   | 885B5 |                  | 885C6 |

**PCA NET WORTH CALCULATION WORKSHEET AS OF: \_\_\_\_\_**

A credit union is not required to provide input on this page unless it has chosen an alternative total assets option offered on items 10 through 12 to calculate Net Worth, elected to early adopt ASC Topic 326: Financial Instruments - Credit Losses (CECL), or completed a merger/acquisition after 12/31/2008.

Information entered on preceding schedules will populate items below in the online 5300 System, excluding items 7a - 7d and optional items 10, 11 and 12.

**NET WORTH TO TOTAL ASSETS RATIO****NUMERATOR: NET WORTH**

|  |  |  | Amount | Acct  |
|--|--|--|--------|-------|
| 1. Undivided Earnings  |  |  |        | 940   |
| 2. Regular Reserves  |  |  |        | 931   |
| 3. Appropriation for Non-Conforming Investments (State Credit Union ONLY)    |  |  |        | 668   |
| 4. Other Reserves (Appropriations of Undivided Earnings)                     |  |  |        | 658   |
| 5. Subordinated Debt included in Net Worth                                   |  |  |        | 925A  |
| 6. Net Income (unless this amount is already included in Undivided Earnings) |  |  |        | 602   |
| 7. Adjusted Retained Earnings acquired through Business Combinations         |  |  | Amount | Acct  |
| a.   | Prior Quarter-End Adjusted Retained Earnings acquired through Business Combinations                                    |  |        | 1004A |
| b.   | Adjustments made to Retained Earnings acquired through Business Combinations during current quarter (See Instructions) |  |        | 1004B |
| c.   | Adjusted Gain from Bargain Purchase due to Business Combinations completed during current quarter (See Instructions)   |  |        | 1004C |
| d.   | Current Quarter's Total Adjusted Retained Earnings acquired through Business Combinations (7a + 7b - 7c)               |  |        | 1004  |
| 8. TOTAL NET WORTH (Sum of items 1-6 and 7d)                                 |  |  |        | 997   |

**DENOMINATOR: TOTAL ASSETS**

|                               |  |  | Amount | Acct |
|-------------------------------|--|--|--------|------|
| 9. Total Assets (quarter-end) |  |  |        | 010  |

**Total Assets Elections (Optional)**

Retain item 9 quarter-end total assets above as net worth ratio denominator, or select one of the total assets computation options below by inputting the result in the appropriate line item. Item 13 below will compute your net worth ratio using item 9 quarter-end total assets as your denominator unless you enter an amount in item 10, 11 or 12.

|  |  |  |  |      |
|--|--|--|--|------|
| 10. Average of Daily Assets over the calendar quarter                            |  |  |  | 010A |
| 11. Average of the three month-end balances over the calendar quarter            |  |  |  | 010B |
| 12. The average of the current and three preceding calendar quarter-end balances |  |  |  | 010C |

**Net Worth Calculation and Classification**

|  |  |  | Amount | Acct |
|--|--|--|--------|------|
| 13. Net Worth Ratio (Item 8 divided by item 9, 10, 11, or 12)  |  |  |        | 998  |
| 14. a.   | Risk Based Net Worth (RBNW) Requirement (Standard Calculation)       |  |        | 999  |
| b.   | Risk Based Net Worth (RBNW) Alternative Method under Section 702.107 |  |        | 999A |
| 15. Net Worth Classification if credit union is not new<br>(Based upon Call Report data only. See instructions.)   |  |  |        | 700  |
| 16. Net Worth Classification if credit union is new<br>A "New" credit union has less than \$10 million in assets and was chartered in the last 10 years. (Based upon Call Report data only. See instructions.) |  |  |        | 701  |

**ASC Topic 326 - Undivided Earnings adjustment**

|  |  |  | Amount | Acct   |
|--|--|--|--------|--------|
| 17. Select the fiscal year of adoption of ASC Topic 326 - Financial Instruments - Credit Losses (CECL)   |  |  |        | NW0001 |
| 18. One-time Adjustment to Undivided Earnings for those credit unions that have adopted ASC Topic 326 - Financial Instruments - Credit Losses (CECL) |  |  |        | NW0002 |

**STANDARD COMPONENTS OF  
RISK BASED NET WORTH (RBNW) REQUIREMENT AS OF: \_\_\_\_\_**

*A RBNW Requirement is only applicable for those credit unions with assets greater than \$50,000,000 and a RBNW requirement greater than six percent.*

*The information below is provided only for your information. No credit union is required to provide input on this page.*

*Information entered elsewhere will populate the line items below in CUOnline.*

| A   | B              | C   | D                 | E                                 | F                     |
|---|----------------|---|-------------------|-----------------------------------|-----------------------|
| Risk portfolio  | Dollar balance | Amount as percent of<br>quarter-end total<br>assets | Risk<br>weighting | Amount<br>times risk<br>weighting | Standard<br>component |
| <u>Quarter-end total assets</u><br>Assets, line 28 (Acct 010)   |                |   |                   |                                   |                       |
| <u>(a) Long-term real estate loans</u><br>Sched A Sect 2 Line 12 (Acct 710) less:<br>Sched A Sect 4 Line 10 (Acct 718)<br>Sched A Sect 2 Line 19 (Acct 712)<br><br>Threshold amount: 0 to 25%<br>Excess amount: over 25%  |                |   |                   |                                   |                       |
| <u>(b) MBLs outstanding</u><br>Sched A Sect 4 line 8 (Acct 400)<br><br>Threshold amount: 0 to 15%<br>Threshold amount: >15 to 25%<br>Excess amount: over 25%  |                |   |                   |                                   |                       |
| <u>(c) Investments</u><br><i>Weighted-average life:</i><br>Page 1 Lines 2d, 3 and 17:<br>0 to 1 year (Acct 799A1 + Acct 730B + Acct 730C - Acct 738A - Acct 739A)<br>> 1 year to 3 years (Acct 799B - Acct 738B - Acct 739B)<br>> 3 years to 5 years (Acct 799C1 - Acct 738C - Acct 739C)<br>> 5 years to 10 years (Acct 799C2 - Acct 738D - Acct 739D)<br>> 10 years (Acct 799D - Acct 738E - Acct 739E) |                |   |                   |                                   |                       |
| <u>(d) Low-risk assets</u><br>Assets Line 1 (Acct 730A)<br>Assets Line 25 (Acct 794)<br>Schedule B Line 1b (Acct 740)   |                |   |                   |                                   |                       |
| <b>Sum of risk portfolios (a) through (d) above</b>   |                |   |                   |                                   |                       |
| <u>(e) Average-risk assets</u><br>Assets, line 28 (Acct 010) less: Risk portfolio items (a) through (d) above   |                |   |                   |                                   |                       |
| <u>(f) Loans sold with recourse</u><br>Page 11, line 5 (Acct 819)   |                |   |                   |                                   |                       |
| <u>(g) Unused MBL commitments</u><br>Sched A Sect 4 line 9 (Acct 814B)  |                |   |                   |                                   |                       |
| <u>(h) Allowance (Credit limited to 1.5% of loans)</u><br>Assets, line 20 (Acct 719) or line 21 (Acct AS0048)   |                |   |                   |                                   |                       |
| Sum of standard components:<br><b>RBNW requirement (Acct 999B)</b>  |                |   |                   |                                   |                       |



**SCHEDULE A  
SPECIALIZED LENDING AS OF:**

**Section 1:** If your credit union has indirect loans, complete this section.  
**Section 2:** If your credit union has any real estate loans outstanding or has originated any real estate loans year-to-date, complete this section.  
**Section 3:** If your credit union has any participation loans outstanding or purchased or sold loans or participations year-to-date, complete this section.  
**Section 4:** If your credit union has any commercial or member business loans outstanding or has originated/purchased any commercial or member business loans year-to-date, complete this section. If these loans are secured by real estate, complete section 2 also.  
**Section 5:** If your credit union has any Troubled Debt Restructured loans outstanding or has modified any loans year-to-date, complete this section.  
**Section 6:** If your credit union has purchased or obtained credit impaired loans in a merger, complete this section.

**SECTION 1 - INDIRECT LOANS**

| 1. INDIRECT LOANS |  | Number | Acct        | Amount | Acct        |
|-------------------|--|--------|-------------|--------|-------------|
| a.                | Indirect Loans - Point of Sale Arrangement       |        | 617B        |        | 618B        |
| b.                | Indirect Loans - Outsourced Lending Relationship |        | 617C        |        | 618C        |
| c.                | <b>TOTAL OUTSTANDING INDIRECT LOANS</b>          |        | <b>617A</b> |        | <b>618A</b> |

You may stop here if your credit union has no real estate loans, member business loans, or commercial loans outstanding and has not originated any real estate loans, member business loans, or commercial loans year-to-date or if your credit union has not purchased or sold any loans or does not have any participation loans outstanding.

**SECTION 2 - REAL ESTATE LOANS AND LINES OF CREDIT - INCLUDING MEMBER BUSINESS/COMMERCIAL LOANS SECURED BY REAL ESTATE**

| FIRST MORTGAGE REAL ESTATE LOANS  | No. Outstanding  | Acct | Amt Outstanding | Acct | No. Granted YTD | Acct | Amount Granted YTD | Acct |
|---|------------------|------|-----------------|------|-----------------|------|--------------------|------|
| 1. Fixed Rate   |                  |      |                 |      |                 |      |                    |      |
| a.  | > 15 Years       | 972A |                 | 704A |                 | 982A |                    | 720A |
| b.  | 15 Years or less | 972B |                 | 704B |                 | 982B |                    | 720B |
| 2. Balloon/Hybrid   |                  |      |                 |      |                 |      |                    |      |
| a.  | > 5 Years        | 972C |                 | 704C |                 | 982C |                    | 720C |
| b.  | 5 Years or less  | 972D |                 | 704D |                 | 982D |                    | 720D |
| 3. Other Fixed Rate   |                  |      |                 |      |                 |      |                    |      |
|   |                  | 972E |                 | 704E |                 | 982E |                    | 720E |
| 4. Adjustable Rate 1 yr or less   |                  |      |                 |      |                 |      |                    |      |
|   |                  | 973A |                 | 705A |                 | 983A |                    | 721A |
| 5. Adjustable Rate > 1 yr   |                  |      |                 |      |                 |      |                    |      |
|   |                  | 973B |                 | 705B |                 | 983B |                    | 721B |
| 6. Total 1st Mortgage Real Estate Loans/Lines of Credit (sum items 1-5) |                  |      |                 | 703  |                 |      |                    |      |

**OTHER REAL ESTATE**

|  |  |      |  |      |  |      |  |      |
|--|--|------|--|------|--|------|--|------|
| 7. Closed-End Fixed Rate   |  | 974  |  | 706  |  | 984  |  | 722  |
| 8. Closed-End Adjustable Rate                                      |  | 975  |  | 707  |  | 985  |  | 723  |
| 9. Open-End Adjustable Rate  |  | 976  |  | 708  |  | 986  |  | 724  |
| 10. Open-End Fixed Rate  |  | 976B |  | 708B |  | 986B |  | 724B |
| 11. Total Other Real Estate Loans/Lines of Credit (sum items 7-10) |  |      |  | 386  |  |      |  |      |
| 12. <b>TOTALS</b> (all columns, items 1 - 5 and 7 - 10)            |  | 978  |  | 710  |  | 988  |  | 726  |

**MISCELLANEOUS REAL ESTATE LOANS/LINES OF CREDIT INFORMATION**

|   |  | No. of Loans Outstanding | Acct  | Amount Outstanding       | Acct  | Amount Granted YTD       | Acct  |                    |       |
|---|--|--------------------------|-------|--------------------------|-------|--------------------------|-------|--------------------|-------|
| 13. Interest Only & Payment Option 1st Mortgage Loans |  |                          |       | 704C2                    |       | 704C1                    | 704C3 |                    |       |
| 14. Interest Only & Payment Option Other RE/LOC Loans |  |                          |       | 704D1                    |       | 704D2                    | 704D3 |                    |       |
| 15. REVERSE MORTGAGES                                 |  | No. of Loans Outstanding | Acct  | Amt of Loans Outstanding | Acct  | No. of Loans Granted YTD | Acct  | Amount Granted YTD | Acct  |
| a.  | Federally Insured Home Equity Conversion Mortgage (HECM) |                          | 704F1 |                          | 704F2 |                          | 704F3 |                    | 704F4 |
| b.  | Proprietary Reverse Mortgage Products                    |                          | 704G1 |                          | 704G2 |                          | 704G3 |                    | 704G4 |

**SCHEDULE A  
SPECIALIZED LENDING (Continued) AS OF:**

| <b>SECTION 2 CONTINUED - REAL ESTATE LOANS AND LINES OF CREDIT</b>  |  | <b>Amount</b> | <b>Acct</b>   |
|---|--|---------------|---------------|
| <b>MISCELLANEOUS REAL ESTATE LOANS/LINES OF CREDIT INFORMATION (continued)</b>  |  |               |               |
| 16. Balance Outstanding of 1st Mtg Residential Construction Loans - Excluding Commercial Purpose  |  |               | <b>704A2</b>  |
| 17. a. Allowance for Losses on all Real Estate Loans - If you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL) skip to item 17b.        |  |               | <b>731</b>    |
| b. Allowance for Credit Losses on all Real Estate Loans - Enter an amount if you have early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)       |  |               | <b>SL0003</b> |
| 18. Total Amount of All 1st Mortgage Loans which have been sold in the secondary market year-to-date  |  |               | <b>736</b>    |
| 19. Amount of Real Estate Loans Outstanding that will contractually refinance, reprice or mature within the next 5 years and that are not reported in Sect 4, item 10 |  |               | <b>712</b>    |
| 20. Amount of real estate loans sold but serviced by the credit union   |  |               | <b>779A</b>   |
| 21. Mortgage Servicing Rights   |  |               | <b>779</b>    |

**SECTION 3 - LOANS PURCHASED AND SOLD IN FULL & PARTICIPATION LOANS PURCHASED AND SOLD**

| <b>1. LOANS PURCHASED AND SOLD YEAR-TO-DATE</b>              |  | <b>Number</b>  | <b>Acct</b>  | <b>Amount</b>          | <b>Acct</b>  |  |              |                                    |              |
|--|--|--|--------------|------------------------|--------------|--|--------------|------------------------------------|--------------|
| a. Loans Purchased In Full from Other Financial Institutions |  |  | <b>614</b>   |                        | <b>615</b>   |  |              |                                    |              |
| b. Loans Purchased In Full from Other Sources                |  |  | <b>612</b>   |                        | <b>613</b>   |  |              |                                    |              |
| c. Loans, Excluding Real Estate Loans, Sold in Full          |  |  | <b>616</b>   |                        | <b>616A</b>  |  |              |                                    |              |
| <b>2. PARTICIPATION LOANS PURCHASED</b>                      |  | <b>ALL OUTSTANDING</b>   |              |                        |              | <b>PURCHASED YTD</b>   |              |                                    |              |
|  |  | <b>Number</b>  | <b>Acct</b>  | <b>Amount</b>          | <b>Acct</b>  | <b>Number</b>  | <b>Acct</b>  | <b>Amount</b>                      | <b>Acct</b>  |
| a. Purchased With Recourse                                   |  |  | <b>619A1</b> |                        | <b>619B1</b> |  | <b>690A1</b> |                                    | <b>690B1</b> |
| b. Purchased Without Recourse                                |  |  | <b>619A2</b> |                        | <b>619B2</b> |  | <b>690A2</b> |                                    | <b>690B2</b> |
| <b>TOTAL PURCHASED (each column)</b>                         |  |  | <b>619A3</b> |                        | <b>619B</b>  |  | <b>690A</b>  |                                    | <b>690</b>   |
| <b>3. OUTSTANDING PARTICIPATION LOANS SOLD</b>               |  | <b>Participation Interest Retained</b>                               |              |                        |              | <b>Participation Interest Sold AND/OR Serviced</b>                         |              |                                    |              |
|  |  | <b>Number</b>  | <b>Acct</b>  | <b>Amount Retained</b> | <b>Acct</b>  | <b>Number</b>  | <b>Acct</b>  | <b>Amount Sold AND/OR Serviced</b> | <b>Acct</b>  |
| a. Sold With Recourse  |  |  | <b>691D1</b> |                        | <b>691E1</b> |  | <b>691F1</b> |                                    | <b>691G1</b> |
| b. Sold Without Recourse                                     |  |  | <b>691D2</b> |                        | <b>691E2</b> |  | <b>691F2</b> |                                    | <b>691G2</b> |
| <b>TOTAL OUTSTANDING SOLD (each column)</b>                  |  |  | <b>691D</b>  |                        | <b>691E</b>  |  | <b>691F</b>  |                                    | <b>691G</b>  |
| <b>4. PARTICIPATION LOANS SOLD YEAR-TO-DATE</b>              |  | <b>Participation Interest Retained</b>                               |              |                        |              | <b>Participation Interest Sold AND/OR Serviced</b>                         |              |                                    |              |
|  |  | <b>Number</b>  | <b>Acct</b>  | <b>Amount Retained</b> | <b>Acct</b>  | <b>Number</b>  | <b>Acct</b>  | <b>Amount Sold AND/OR Serviced</b> | <b>Acct</b>  |
| a. Sold With Recourse YTD                                    |  |  | <b>691H1</b> |                        | <b>691I1</b> |  | <b>691A1</b> |                                    | <b>691J1</b> |
| b. Sold Without Recourse YTD                                 |  |  | <b>691H2</b> |                        | <b>691I2</b> |  | <b>691A2</b> |                                    | <b>691J2</b> |
| <b>TOTAL SOLD YTD (each column)</b>                          |  |  | <b>691H</b>  |                        | <b>691I</b>  |  | <b>691A</b>  |                                    | <b>691</b>   |
| <b>5. PARTICIPATION LOANS OUTSTANDING BY TYPE</b>            |  | <b>Purchased Participations, Outstanding on Financial Statements</b> |              |                        |              | <b>Portion of Participations Sold, Outstanding on Financial Statements</b> |              |                                    |              |
|  |  | <b>Number</b>  | <b>Acct</b>  | <b>Amount</b>          | <b>Acct</b>  | <b>Number</b>  | <b>Acct</b>  | <b>Amount Sold Outstanding</b>     | <b>Acct</b>  |
| a. Consumer  |  |  | <b>691K1</b> |                        | <b>691L1</b> |  | <b>691M1</b> |                                    | <b>691N1</b> |
| b. Non-Federally Guaranteed Student Loans                    |  |  | <b>691K7</b> |                        | <b>691L7</b> |  | <b>691M7</b> |                                    | <b>691N7</b> |
| c. Real Estate   |  |  | <b>691K2</b> |                        | <b>691L2</b> |  | <b>691M2</b> |                                    | <b>691N2</b> |
| d. Commercial Loans excluding C&D                            |  |  | <b>691K8</b> |                        | <b>691L8</b> |  | <b>691M8</b> |                                    | <b>691N8</b> |
| e. Commercial Construction & Development                     |  |  | <b>691K9</b> |                        | <b>691L9</b> |  | <b>691M9</b> |                                    | <b>691N9</b> |
| f. Loan Pools  |  |  | <b>691K6</b> |                        | <b>691L6</b> |  | <b>691M6</b> |                                    | <b>691N6</b> |
| <b>TOTAL OUTSTANDING (each column)</b>                       |  |  | <b>691K</b>  |                        | <b>691L</b>  |  | <b>691M</b>  |                                    | <b>691N</b>  |

NCUA 5300

Effective March 31, 2019

Previous Editions Are Obsolete

**SCHEDULE A**  
**SPECIALIZED LENDING (Continued) AS OF: \_\_\_\_\_**

**SECTION 4 - MEMBER BUSINESS & COMMERCIAL LENDING - Complete this section if the credit union has any commercial or member business loans.**

|   |   | Commercial Loans |              |                     |              |                              |              |                                 |              |
|---|---|------------------|--------------|---------------------|--------------|------------------------------|--------------|---------------------------------|--------------|
|   |   | No. of Loans     | Acct         | Outstanding Balance | Acct         | No. Granted or Purchased YTD | Acct         | Amount Granted or Purchased YTD | Acct         |
| <b>1. Commercial Loans to Members</b>   |   |                  |              |                     |              |                              |              |                                 |              |
| a.  | Construction and Development Loans                                  |                  | 143A3        |                     | 143B3        |                              | 143C3        |                                 | 143D3        |
| b.  | Secured by Farmland   |                  | 961A5        |                     | 042A5        |                              | 099A5        |                                 | 463A5        |
| c.  | Secured by Multifamily  |                  | 900M         |                     | 400M         |                              | 090M         |                                 | 475M         |
| d.  | Secured by Owner Occupied, Non-Farm, Non-Residential Property       |                  | 900H2        |                     | 400H2        |                              | 090H2        |                                 | 475H2        |
| e.  | Secured by Non-Owner Occupied, Non-Farm, Non-Residential Property   |                  | 900J2        |                     | 400J2        |                              | 090J2        |                                 | 475J2        |
| f.  | <b>TOTAL REAL ESTATE SECURED</b>                                    |                  | <b>900K2</b> |                     | <b>718A3</b> |                              | <b>090K2</b> |                                 | <b>475K2</b> |
| g.  | Loans to finance agricultural production and other loans to farmers |                  | 961A6        |                     | 042A6        |                              | 099A6        |                                 | 463A6        |
| h.  | Commercial and Industrial Loans                                     |                  | 900L2        |                     | 400L2        |                              | 090L2        |                                 | 475L2        |
| i.  | Unsecured Commercial Loans  |                  | 900C5        |                     | 400C5        |                              | 090C5        |                                 | 475C5        |
| j.  | Unsecured Revolving Lines of Credit for Commercial Purposes         |                  | 900C6        |                     | 400C6        |                              | 090C6        |                                 | 475C6        |
| k.  | <b>TOTAL COMMERCIAL LOANS to Members</b>                            |                  | <b>900A1</b> |                     | <b>400A1</b> |                              | <b>090A1</b> |                                 | <b>475A1</b> |
| <b>2. Purchased commercial loans or participation interests to nonmembers</b>   |   |                  |              |                     |              |                              |              |                                 |              |
| a.  | Construction and Development Loans                                  |                  | 143A4        |                     | 143B4        |                              | 143C4        |                                 | 143D4        |
| b.  | Secured by Farmland   |                  | 961A7        |                     | 042A7        |                              | 099A7        |                                 | 463A7        |
| c.  | Secured by Multifamily  |                  | 900M1        |                     | 400M1        |                              | 090M1        |                                 | 475M1        |
| d.  | Secured by Owner Occupied, Non-Farm, Non-Residential Property       |                  | 900H3        |                     | 400H3        |                              | 090H3        |                                 | 475H3        |
| e.  | Secured by Non-Owner Occupied, Non-Farm, Non-Residential Property   |                  | 900J3        |                     | 400J3        |                              | 090J3        |                                 | 475J3        |
| f.  | <b>TOTAL REAL ESTATE SECURED</b>                                    |                  | <b>900K3</b> |                     | <b>718A4</b> |                              | <b>090K3</b> |                                 | <b>475K3</b> |
| g.  | Loans to finance agricultural production and other loans to farmers |                  | 961A8        |                     | 042A8        |                              | 099A8        |                                 | 463A8        |
| h.  | Commercial and Industrial Loans                                     |                  | 900L3        |                     | 400L3        |                              | 090L3        |                                 | 475L3        |
| i.  | Unsecured Commercial Loans  |                  | 900C7        |                     | 400C7        |                              | 090C7        |                                 | 475C7        |
| j.  | Unsecured Revolving Lines of Credit for Commercial Purposes         |                  | 900C8        |                     | 400C8        |                              | 090C8        |                                 | 475C8        |
| k.  | <b>TOTAL COMMERCIAL LOANS to Nonmembers</b>                         |                  | <b>900B1</b> |                     | <b>400B1</b> |                              | <b>090B1</b> |                                 | <b>475B1</b> |
| <b>TOTAL COMMERCIAL LOANS (1k+2k)</b>   |   |                  | <b>900T1</b> |                     | <b>400T1</b> |                              |              |                                 |              |
| <b>MISCELLANEOUS COMMERCIAL LOAN INFORMATION</b>  |   |                  |              |                     |              | <b>No. of Loans</b>          | <b>Acct</b>  | <b>Amount</b>                   | <b>Acct</b>  |
| 3. Outstanding commercial participations sold but retained servicing (including unfunded commitments)   |   |                  |              |                     |              |                              | 1061A        |                                 | 1061         |
| 4. Outstanding commercial loans sold but retained servicing (including unfunded commitments)  |   |                  |              |                     |              |                              | 1062A        |                                 | 1062         |
| 5. Year-to-Date commercial loans/participations sold but did not retain servicing (including unfunded commitments)  |   |                  |              |                     |              |                              | 1063A        |                                 | 1063         |
| 6. Commercial Agricultural Loans (1b+1g+2b+2g)  |   |                  |              |                     |              |                              | 961A9        |                                 | 042A9        |
| <b>REGULATORY REPORTING - PART 723 - MEMBER BUSINESS LOANS</b>  |   |                  |              |                     |              |                              |              |                                 |              |
|   |   |                  |              |                     |              |                              |              | <b>Amount</b>                   | <b>Acct</b>  |
| 7. Net Member Business Loan Balance (NMBLB)   |   |                  |              |                     |              |                              |              |                                 | 400A         |
| <b>RISK BASED NET WORTH (RBNW) - For credit unions with assets greater than \$50,000,000</b>  |   |                  |              |                     |              |                              |              |                                 |              |
|   |   |                  |              |                     |              |                              |              | <b>Amount</b>                   | <b>Acct</b>  |
| 8. Loans and participation interests qualifying for RBNW  |   |                  |              |                     |              |                              |              |                                 | 400          |
| 9. Unfunded commitments for member business loans and participation interests qualifying for RBNW   |   |                  |              |                     |              |                              |              |                                 | 814B         |
| 10. Amount of Real Estate Loans included in line 12 of page 14 also reported as member business loans and participation interests qualifying for RBNW on line 8 above |   |                  |              |                     |              |                              |              |                                 | 718          |

**SCHEDULE A  
SPECIALIZED LENDING (Continued) AS OF: \_\_\_\_\_**

**SECTION 5 - TROUBLED DEBT RESTRUCTURED LOANS - Complete this section if the credit union has any TDR loans outstanding.**

Report loans that qualify under generally accepted accounting principles as troubled debt restructurings (TDRs). TDRs are loans for which the credit union, for economic or legal reasons related to a borrower's financial difficulties, grants a concession to the borrower that it would not otherwise consider. Refer to FASB Accounting Standards Codification 310-40. These loans should also be reported as part of the overall balances in the appropriate category on the Statement of Financial Condition (page 2); in Schedule A, Section 2 for real estate loans; in Schedule A, Section 4 for member business & commercial lending; and on pages 8 and 9, if delinquent. See Delinquent Loan instructions for information regarding TDR delinquency reporting.

|   | TDR Loans in Accrual Status |                  |                          |       | TDR Loans in Nonaccrual Status |       |                             |       | Total TDR Loans Outstanding by Category |       |              |       |
|---|-----------------------------|------------------|--------------------------|-------|--------------------------------|-------|-----------------------------|-------|---|-------|--------------|-------|
|   | No. of Loans Outstanding    | Acct             | Amount in Accrual Status | Acct  | No. of Loans Outstanding       | Acct  | Amount in Nonaccrual Status | Acct  | Total No. of Loans                      | Acct  | Total Amount | Acct  |
| 1. a. TDR Loans Secured by First Mortgages                |                             | 1006A            |                          | 1007A |                                | 1008A |                             | 1009A |   | 1010A |              | 1011A |
| b. TDR Loans Secured by Other RE/LOCs                     |                             | 1006B            |                          | 1007B |                                | 1008B |                             | 1009B |   | 1010B |              | 1011B |
| c. TDR RE Loans Also Reported as Commercial Loans         |                             | 1006F            |                          | 1007F |                                | 1008F |                             | 1009F |   | 1010F |              | 1011F |
| d. TDR Consumer Loans <b>NOT</b> Secured by Real Estate   |                             | 1006D            |                          | 1007D |                                | 1008D |                             | 1009D |   | 1010D |              | 1011D |
| e. TDR Commercial Loans <b>NOT</b> Secured by Real Estate |                             | 1006G            |                          | 1007G |                                | 1008G |                             | 1009G |   | 1010G |              | 1011G |
| f. Total TDR Loans Outstanding (a+b+d+e)                  |                             | 1006             |                          | 1007  |                                | 1008  |                             | 1009  |   | 1000F |              | 1001F |
|   |                             | No. of Loans YTD |                          | Acct  | Amount YTD                     |       | Acct                        |       |   |       |              |       |
| 2. TDR Loans Approved Year-to-Date                        |                             |                  |                          | 1012A |                                |       | 1002F                       |       |   |       |              |       |
|   |                             |                  |                          |       | Amount                         |       | Acct                        |       |   |       |              |       |
| 3. TDR portion of Allowance for Loan and Lease Losses     |                             |                  |                          |       |                                |       | 1013                        |       |   |       |              |       |

**SECTION 6 - PURCHASED CREDIT IMPAIRED LOANS (PCILs) - Complete this section if the credit union has any PCILs and HAS NOT ADOPTED CECL.**

Report purchased impaired loans, whether obtained through merger or other purchase. Refer to FASB Accounting Standards Codification 310-30.

|                            | Contractual Balance Outstanding | Acct   | Recorded Investment Reported as Loans in Account 025B | Acct   |
|----------------------------|---------------------------------|--------|---|--------|
| 1. Total PCILs Outstanding |                                 | PC0001 |   | PC0002 |

**Complete this section if the credit union has early adopted ASC Topic 326: Financial Instruments - Credit Losses (CECL)**

**SECTION 7 - PURCHASED FINANCIAL ASSETS WITH CREDIT DETERIORATION (PCD) - Complete this section for PCD assets acquired during the current reporting period (quarter).**

Report financial assets purchased with credit deterioration, whether obtained through merger or other purchase. Refer to FASB Accounting Standards Codification 326.

|                          | Purchase Price | Acct   | Acquirer's ACL at Acquisition Date | Acct   | Non-Credit Discount or Premium attributable to other factors | Acct   | Unpaid Principal Balance or Par Value | Acct   |
|--------------------------|----------------|--------|------------------------------------|--------|--|--------|---------------------------------------|--------|
| 1. PCD Loans Outstanding |                | PC0003 |                                    | PC0004 |  | PC0005 |                                       | PC0006 |
| 2. PCD Debt Securities   |                | PC0007 |                                    | PC0008 |  | PC0009 |                                       | PC0010 |

**SCHEDULE B**  
**INVESTMENTS, SUPPLEMENTAL INFORMATION AS OF: \_\_\_\_\_**

*Complete this schedule if amounts are reported on page 1, items 4-10; or if items below are applicable.*

**TYPES OF INVESTMENTS**

| 1. U.S. Government Obligations  | <= 1 Year | > 1- 3 Years | > 3 - 5 Years | > 5 - 10 Years | > 10 Years | Total Amount |
|---|-----------|--------------|---------------|----------------|------------|--------------|
| a. NCUA Guaranteed Notes  | 738A      | 738B         | 738C          | 738D           | 738E       |              |
| Amount of Variable Rate   |           |              |               |                |            | 738          |
| Amount of Fixed Rate  | 739A      | 739B         | 739C          | 739D           | 739E       | 739          |
| b. TOTAL NCUA GUARANTEED NOTES  |           |              |               |                |            | 740          |
| c. Total FDIC-Issued Guaranteed Notes   |           |              |               |                |            | 740A         |
| d. All Other U.S. Government Obligations  |           |              |               |                |            | 741C3        |
| e. TOTAL U.S. GOVERNMENT OBLIGATIONS  |           |              |               |                |            | 741C         |
| 2. Federal Agency Securities  |           |              |               |                |            |              |
| a. Agency/GSE Debt Instruments (not backed by mortgages)  |           |              |               |                |            | 742C1        |
| b. Agency/GSE Mortgage-Backed Securities  |           |              |               |                |            | 742C2        |
| c. TOTAL FEDERAL AGENCY SECURITIES  |           |              |               |                |            | 742C         |
| 3. Securities Issued by States and Political Subdivisions in the U.S.   |           |              |               |                |            | 745          |
| 4. Other Mortgage-Backed Securities   |           |              |               |                |            |              |
| a. Privately Issued Mortgage-Related Securities   |           |              |               |                |            |              |
| i. Privately Issued Mortgage-Related Securities   |           |              |               |                |            | 981A         |
| ii. Privately Issued Securities (exclude from 4.a.i.) that fail to meet the definition of a mortgage-related security (downgraded below the two highest rating categories) after purchase <b>(Federal Credit Unions ONLY)</b> |           |              |               |                |            | 981C         |
| b. Privately Issued Mortgage-Backed Securities <b>(State Credit Unions ONLY)</b>  |           |              |               |                |            | 981B         |
| c. TOTAL OTHER MORTGAGE-BACKED SECURITIES   |           |              |               |                |            | 981          |
| 5. Mutual Funds   |           |              |               |                |            | 743D         |
| 6. Common Trusts  |           |              |               |                |            | 743E         |
| 7. Bank Issued FDIC-Guaranteed Bonds  |           |              |               |                |            | 746          |

**INVESTMENTS MEETING SPECIFIC CRITERIA OF PART 703 (Federal Credit Unions ONLY)**

|  | Amount | Acct |
|--|--------|------|
| 8. Non-Mortgage Related Securities with Embedded Options or Complex Coupon Formulas  |        | 786A |
| 9. Non-Mortgage Related Securities with Maturities Greater than Three Years that Do Not Have Embedded Options or Complex Coupon Formulas |        | 786B |
| 10. Total of Securities Meeting the Requirements of Section 703.12(b) (Sum of items 2b+4c+8+9)   |        | 786  |

**MORTGAGE-BACKED SECURITIES**

|  |  |      |
|--|--|------|
| 11. Collateralized Mortgage Obligations/Real Estate Mortgage Investment Conduits (CMOs/REMICs) |  | 733  |
| 12. Commercial Mortgage Backed Securities  |  | 733A |

FCU= Federal Credit Union

SCU= State Credit Union

**SCHEDULE B****INVESTMENTS, SUPPLEMENTAL INFORMATION (Continued) AS OF: \_\_\_\_\_***Complete this schedule if amounts are reported on page 1, items 4-10; or if items below are applicable.*

| <b>MISCELLANEOUS INVESTMENT INFORMATION</b>   |                           | <b>Amount</b>         | <b>Acct</b> |
|---|---------------------------|-----------------------|-------------|
| 13. Total of Deposits and Shares Meeting the Requirements of Section 703.10(a) (FCU Only)   |                           |                       | 785         |
| 14. Market Value of Investments Purchased Under an Investment Pilot Program - 703.19 (FCU Only)   |                           |                       | 785A        |
| 15. Fair Value of Held to Maturity Securities (reported on item 6 or 10 of page 1)  |                           |                       | 801         |
| 16. Investment Repurchase Agreements  |                           |                       | 780         |
| 17. Borrowing Repurchase Transactions Placed in Investments for Purposes of Positive Arbitrage  |                           |                       | 781         |
| 18. Investments Not Authorized by the FCU Act or NCUA Rules and Regulations (SCU ONLY). Exclude investments listed in Item 20 a. or b. (below).   |                           |                       | 784A        |
| 19. Outstanding balance of brokered certificates of deposit and share certificates  |                           |                       | 788         |
| 20. Amounts reported in the Asset section of the Statement of Financial Condition to fund employee benefit plans or deferred compensation plans under Section 701.19(c) of NCUA Rules and Regulations (if FCU), or similar state provisions (if SCU), that are not authorized under Part 703 of NCUA Rules and Regulations. |                           | <b>Recorded Value</b> | <b>Acct</b> |
| a.  | Securities                |                       | 789C        |
| b.  | Other Investments         |                       | 789D        |
| c. Other Assets:  |                           |                       |             |
| i. Split Dollar Life Insurance Arrangements   |                           |                       |             |
| a) Collateral Assignment  |                           |                       | 789E        |
| b) Endorsement  |                           |                       | 789E1       |
| ii. Other Insurance   |                           |                       | 789E2       |
| iii. Other Non-insurance  |                           |                       | 789F        |
| d.  | Total (sum items a. - c.) |                       | 789G        |
|   |                           | <b>Recorded Value</b> | <b>Acct</b> |
| 21. Amounts reported in Asset section of the Statement of Financial Condition to fund Charitable Donation Accounts  |                           |                       | 789H        |

FCU= Federal Credit Union

SCU= State Credit Union

Credit Union Name: \_\_\_\_\_

Federal Charter/Certificate Number: \_\_\_\_\_

**SCHEDULE C**  
**CREDIT UNION SERVICE ORGANIZATION (CUSO) INFORMATION AS OF: \_\_\_\_\_**

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Report the aggregate totals the credit union has in all CUSOs, regardless of whether your credit union owns the CUSO, has a "controlling financial interest," has the "ability to exert significant influence" or owns only a smaller portion of the CUSO.

|   | Amount | Acct |
|---|--------|------|
| a. Total Value of Investments in CUSOs  |        | 851  |
| b. Total Amount Loaned to CUSOs         |        | 852  |
| c. Total Aggregate Cash Outlay in CUSOs |        | 853  |

**SCHEDULE D**  
**DERIVATIVE TRANSACTIONS REPORT AS OF: \_\_\_\_\_**

| 1. Total Derivative Transactions Outstanding: | Total Notional Amount | Acct        | Net Fair Value Gain (Loss) | Acct         | Weighted Average Years to Maturity | Acct         |
|---|-----------------------|-------------|----------------------------|--------------|------------------------------------|--------------|
| a. Interest Rate Swaps:                       |                       |             |                            |              |                                    |              |
| i. Pay-fixed                                  |                       | 1020        |                            | 1020C        |                                    | 1020Y        |
| ii. Receive-fixed                             |                       | 1021        |                            | 1021C        |                                    | 1021Y        |
| iii. Basis                                    |                       | 1022        |                            | 1022C        |                                    | 1022Y        |
| b. Interest Rate Options:                     |                       |             |                            |              |                                    |              |
| i. Caps Purchased                             |                       | 1023        |                            | 1023C        |                                    | 1023Y        |
| ii. Floors Purchased                          |                       | 1024        |                            | 1024C        |                                    | 1024Y        |
| c. Treasury Futures:                          |                       |             |                            |              |                                    |              |
| i. 2 & 3 Year Notes                           |                       | 1025        |                            | 1025C        |                                    | 1025Y        |
| ii. 5 & 10 Year Notes                         |                       | 1026        |                            | 1026C        |                                    | 1026Y        |
| d. Other Derivatives:                         |                       |             |                            |              |                                    |              |
| i. All Other Derivatives                      |                       | 1027        |                            | 1027C        |                                    | 1027Y        |
| <b>Total Derivatives</b>                      |                       | <b>1030</b> |                            | <b>1030C</b> |                                    | <b>1030Y</b> |



**SCHEDULE E**  
**BANK SECRECY ACT/ANTI-MONEY LAUNDERING INFORMATION AS OF: \_\_\_\_\_**

*Complete this schedule if the items below are applicable. This information will not be released to the public.*

**MONEY SERVICES BUSINESSES**

|                                    | Number of Accounts | Acct | Amount  | Acct  |
|------------------------------------|--------------------|------|---|-------|
| 1. Total Money Services Businesses |                    | 1050 |   | 1050A |
| 2. Dealers in Foreign Exchange     |                    | 1051 | <div style="border: 2px solid blue; border-radius: 15px; padding: 10px; text-align: center;"> <p>Sum of<br/>Accounts<br/>1051 through<br/>1056 may not<br/>total to<br/>Account 1050</p> </div> |       |
| 3. Check Cashers                   |                    | 1052 |   |       |
| 4. Monetary Instruments            |                    | 1053 |   |       |
| 5. Money Transmitters              |                    | 1054 |   |       |
| 6. Provider of Prepaid Access      |                    | 1055 |   |       |
| 7. Seller of Prepaid Access        |                    | 1056 |   |       |